

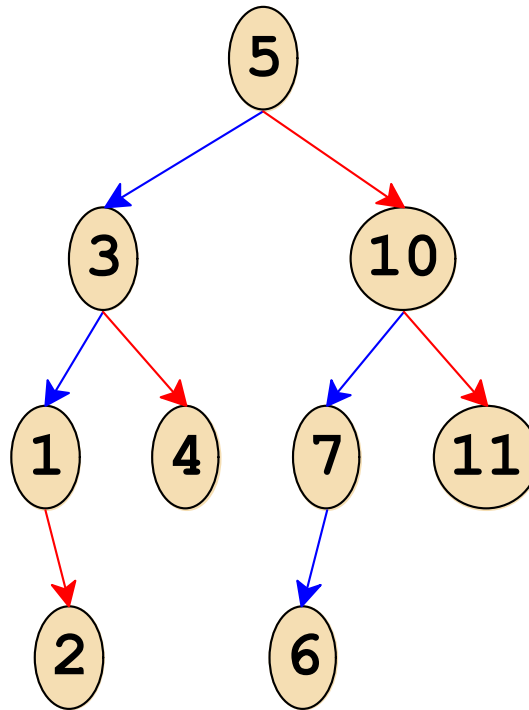
# Adding to a Sorted Sequence

What if you need to frequently **find** and **insert** ordered items?

- Array: can find in  $O(\log n)$  time, but takes  $O(n)$  time to insert into the middle
- Doubly-linked list: can insert in  $O(1)$  time, but takes  $O(n)$  time to find position

A **binary search tree** can make both find and insert  $O(\log n)$  time

# Binary Search Tree



# Binary Search Tree

```
; An X-tree is either
; - empty
; - (make-node X X-tree X-tree)
(define-struct node (value left right))

(define (leaf v) (make-node v empty empty))
(define (branch v l r) (make-node v l r))

(define num-tree
  (branch 5
    (branch 3
      (branch 1 empty (leaf 2))
      (leaf 4))
    (branch 10
      (branch 7 (leaf 6) empty)
      (leaf 11))))
```

# Binary Search Tree

```
; A dir is either 'too-big, 'too-small, or 'same

; btsearch X-tree (X -> dir) -> X-or-false
(define (btsearch t check)
  (cond
    [(empty? t) false]
    [else
     (define d (check (node-value t)))
     (cond
       [(eq? d 'too-big)
        (btsearch (node-left t) check)]
       [(eq? d 'too-small)
        (btsearch (node-right t) check)]
       [else (node-value t)])]))
```

# Binary Search Tree

See `btsearch` in `btsearch.c`

# Binary Search Tree Inserts

```
; btinsert X-tree X (X -> dir) -> X-tree
(define (btinsert t v check)
  (cond
    [(empty? t) (leaf v)]
    [else
     (define d (check (node-value t)))
     (cond
       [(eq? d 'too-big)
        (branch (node-value t)
                (btinsert (node-left t) v check)
                (node-right t))]
       [(eq? d 'too-small)
        (branch (node-value t)
                (node-left t)
                (btinsert (node-right t) v check))]
       [else t])]))
```

# Binary Search Tree Inserts

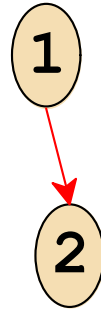
See `btinsert` in `btsearch.c`

# Unbalanced Tree

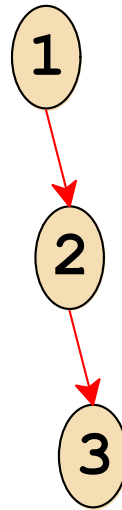
1



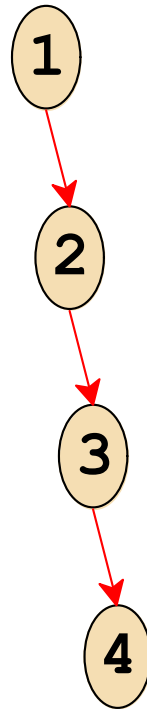
# Unbalanced Tree



# Unbalanced Tree



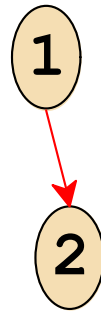
# Unbalanced Tree



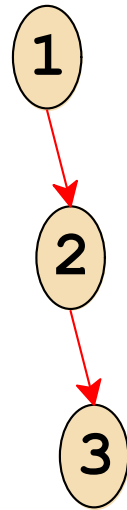
# Balancing a Tree

1

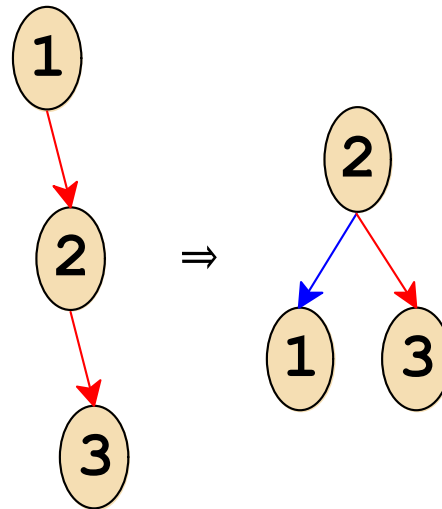
# Balancing a Tree



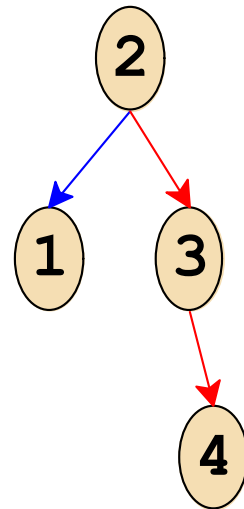
# Balancing a Tree



# Balancing a Tree

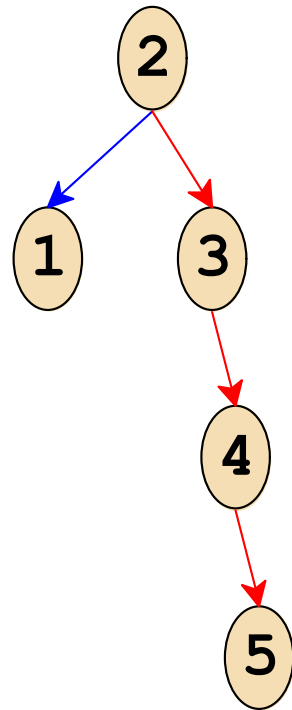


# Balancing a Tree

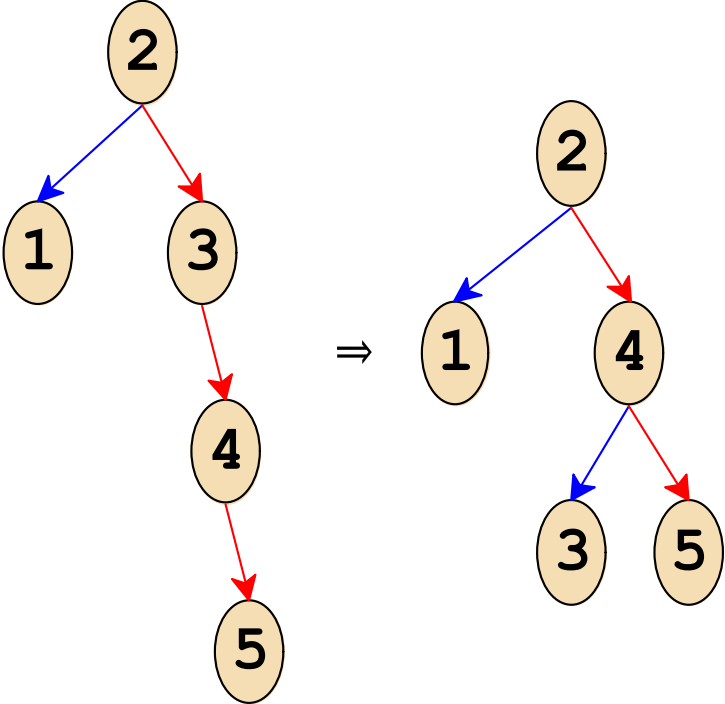




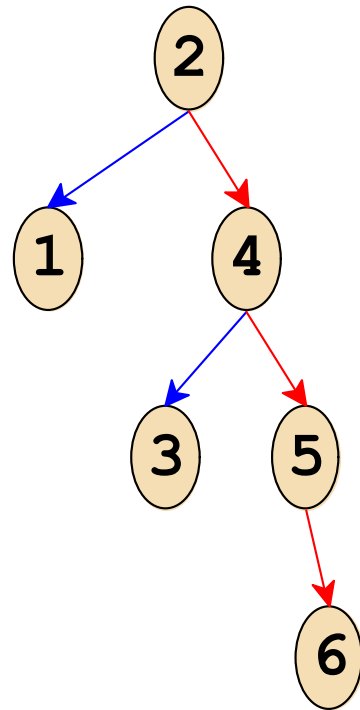
# Balancing a Tree



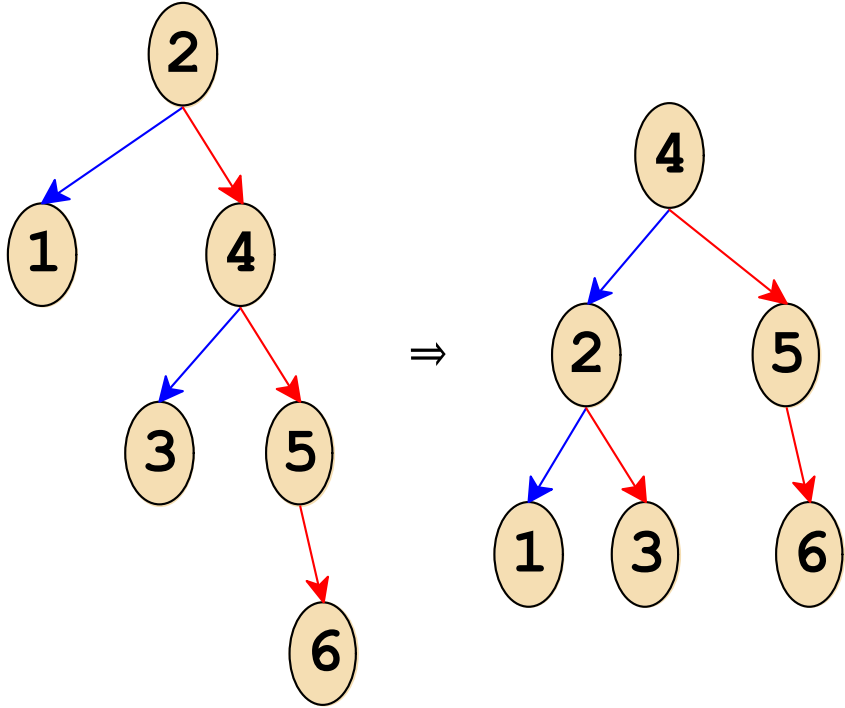
# Balancing a Tree



# Balancing a Tree

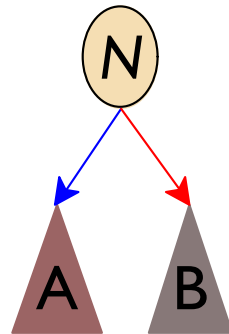


# Balancing a Tree



# AVL Trees

An **AVL tree** uses a particular balancing strategy

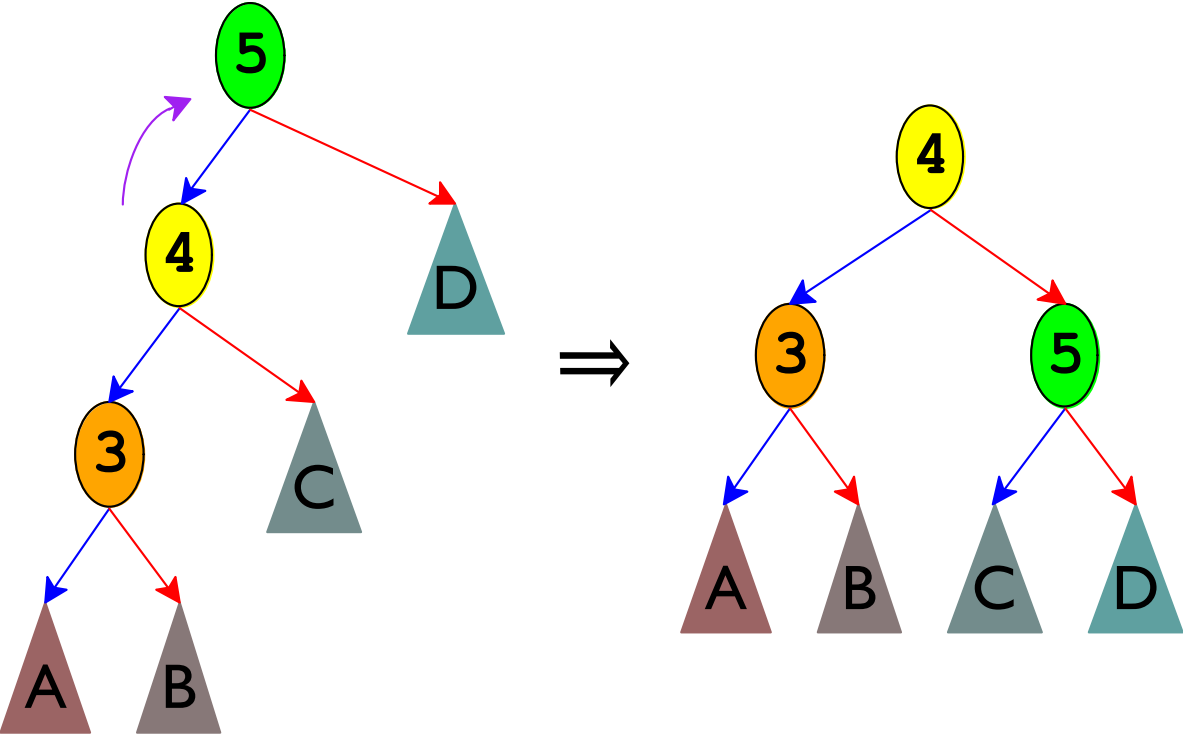


Define **balance** at  $N$  as

$$\text{height}(\triangle A) - \text{height}(\triangle B)$$

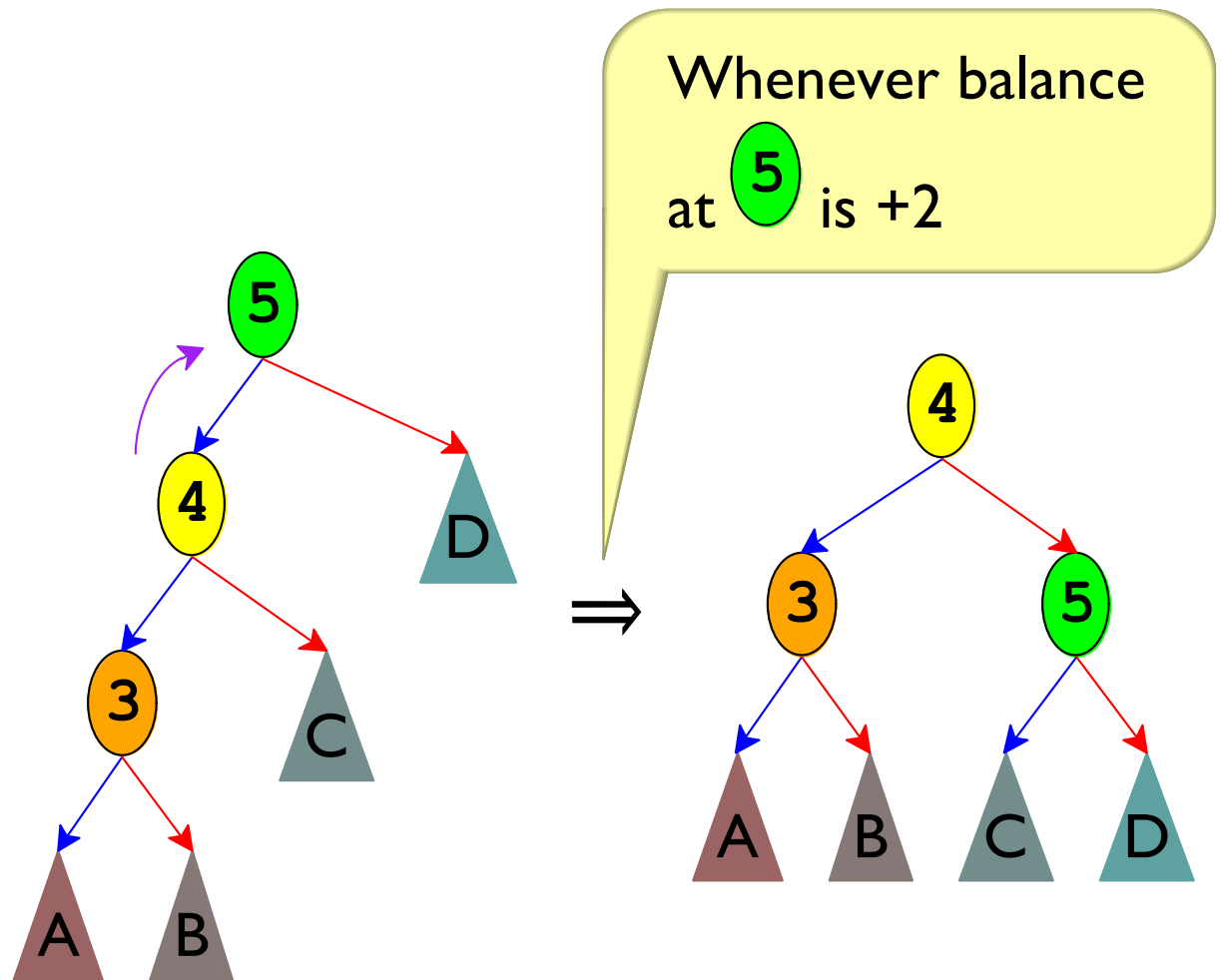
After insert, a balance of  $\pm 2$  triggers rotations

# AVL Trees



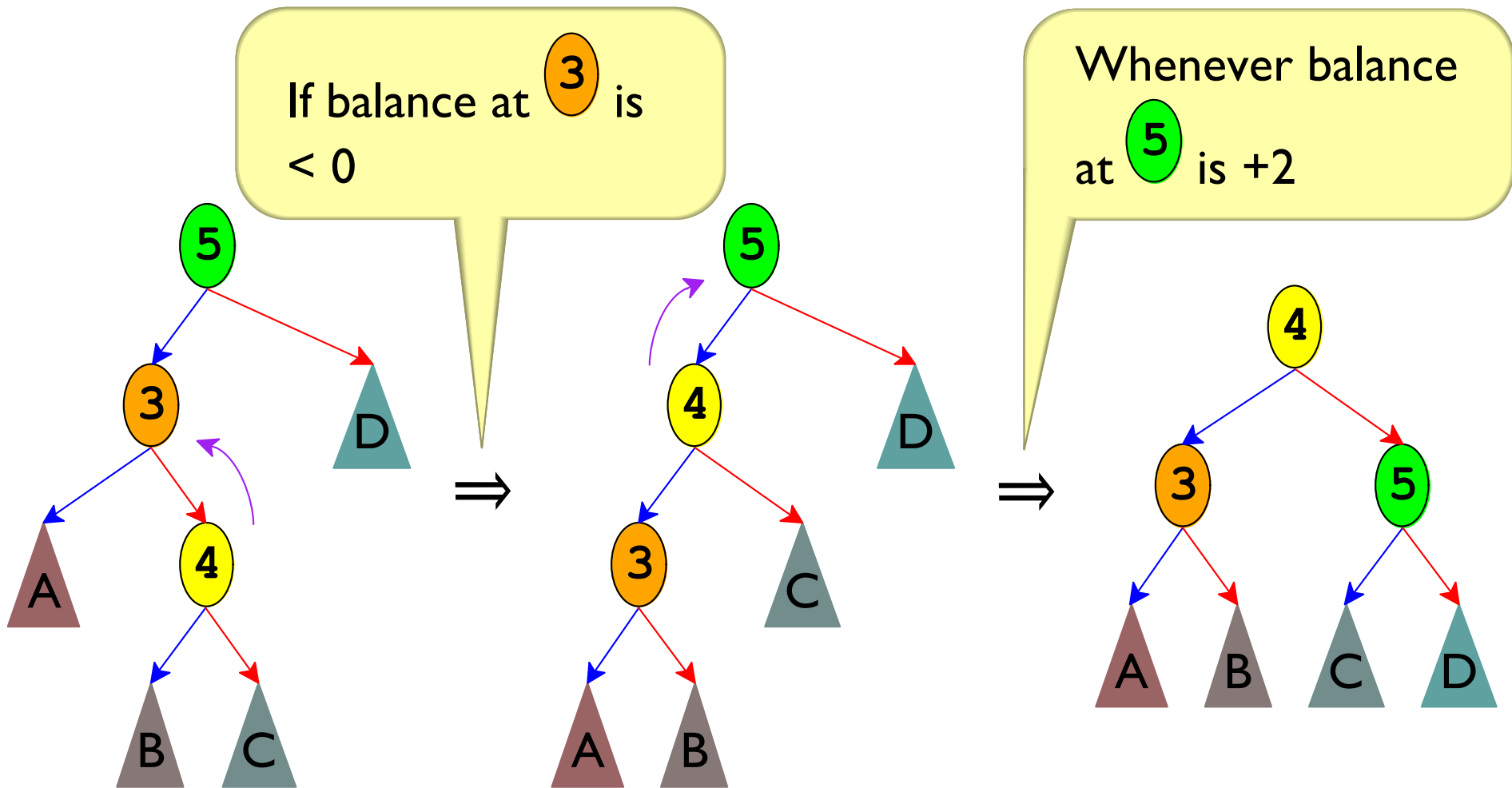
picture based on [http://en.wikipedia.org/wiki/AVL\\_tree](http://en.wikipedia.org/wiki/AVL_tree)

# AVL Trees



picture based on [http://en.wikipedia.org/wiki/AVL\\_tree](http://en.wikipedia.org/wiki/AVL_tree)

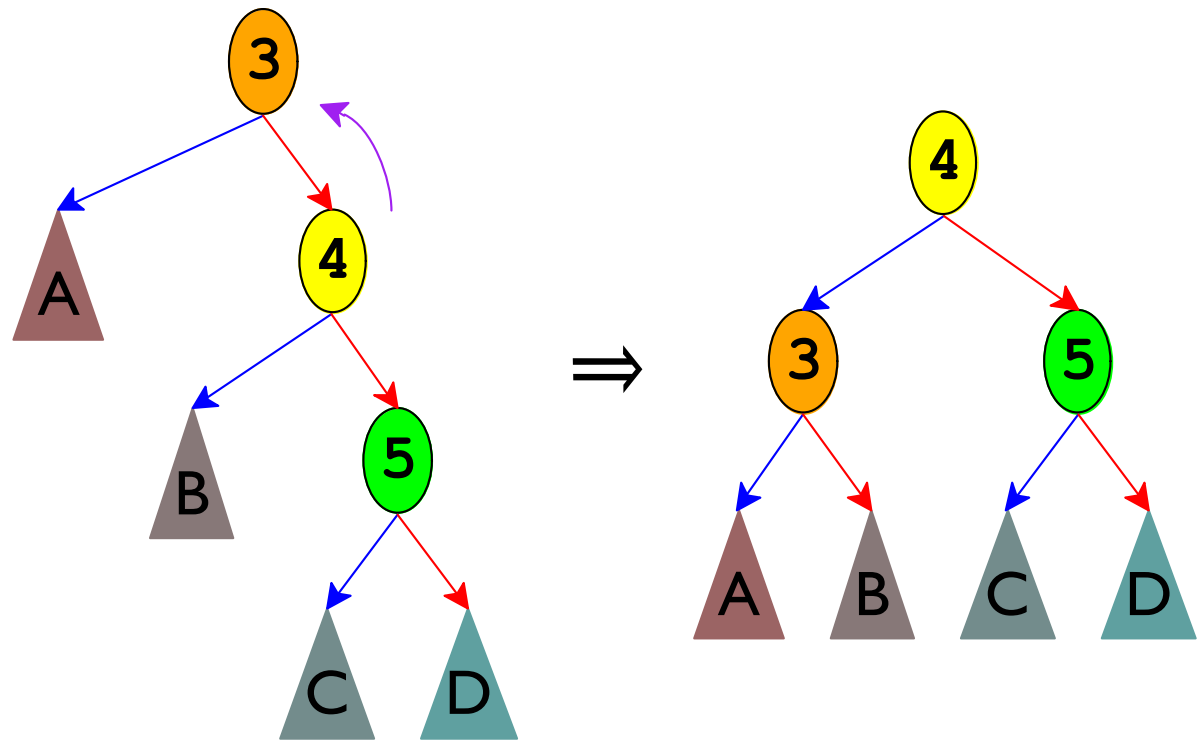
# AVL Trees



picture based on [http://en.wikipedia.org/wiki/AVL\\_tree](http://en.wikipedia.org/wiki/AVL_tree)

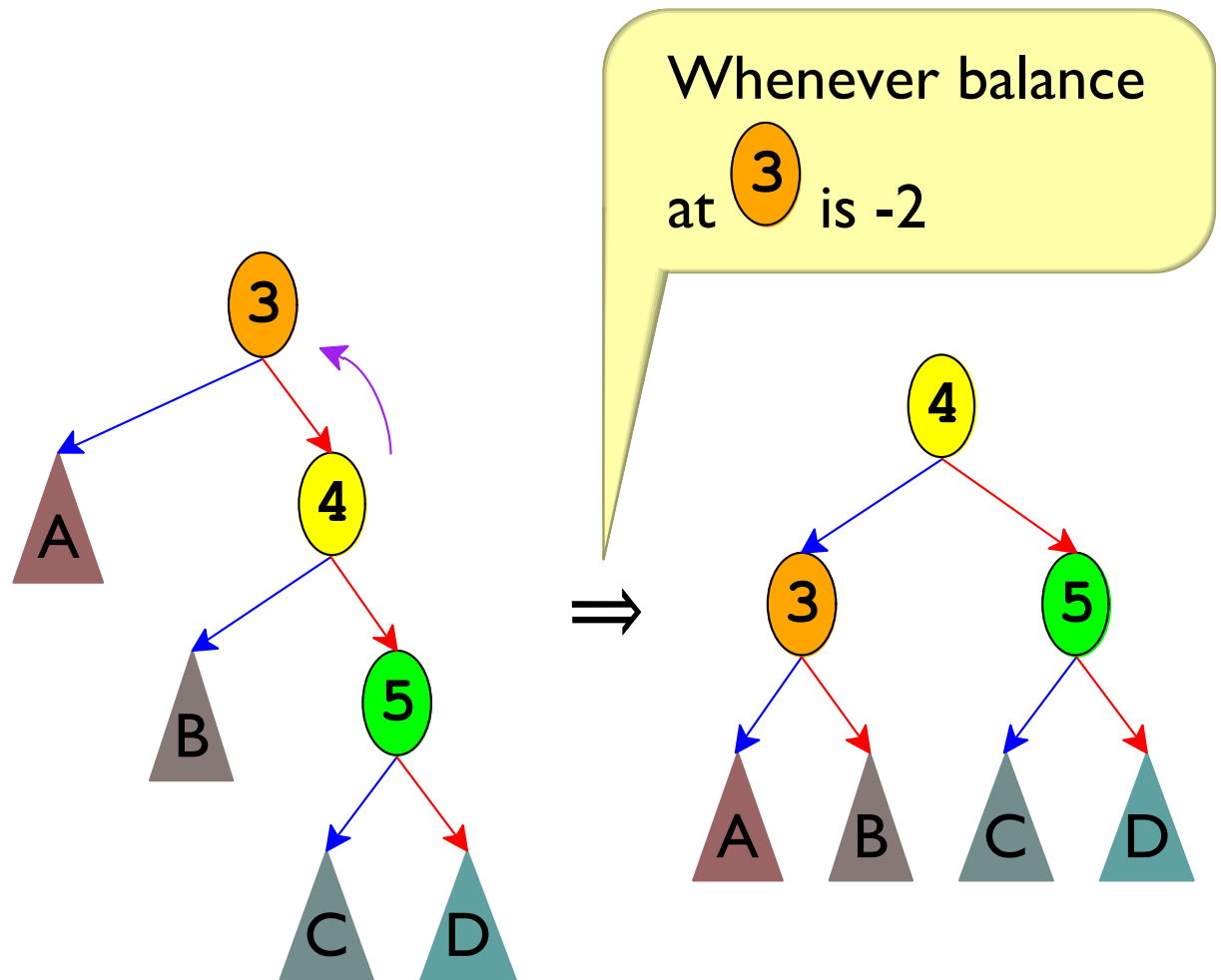


# AVL Trees



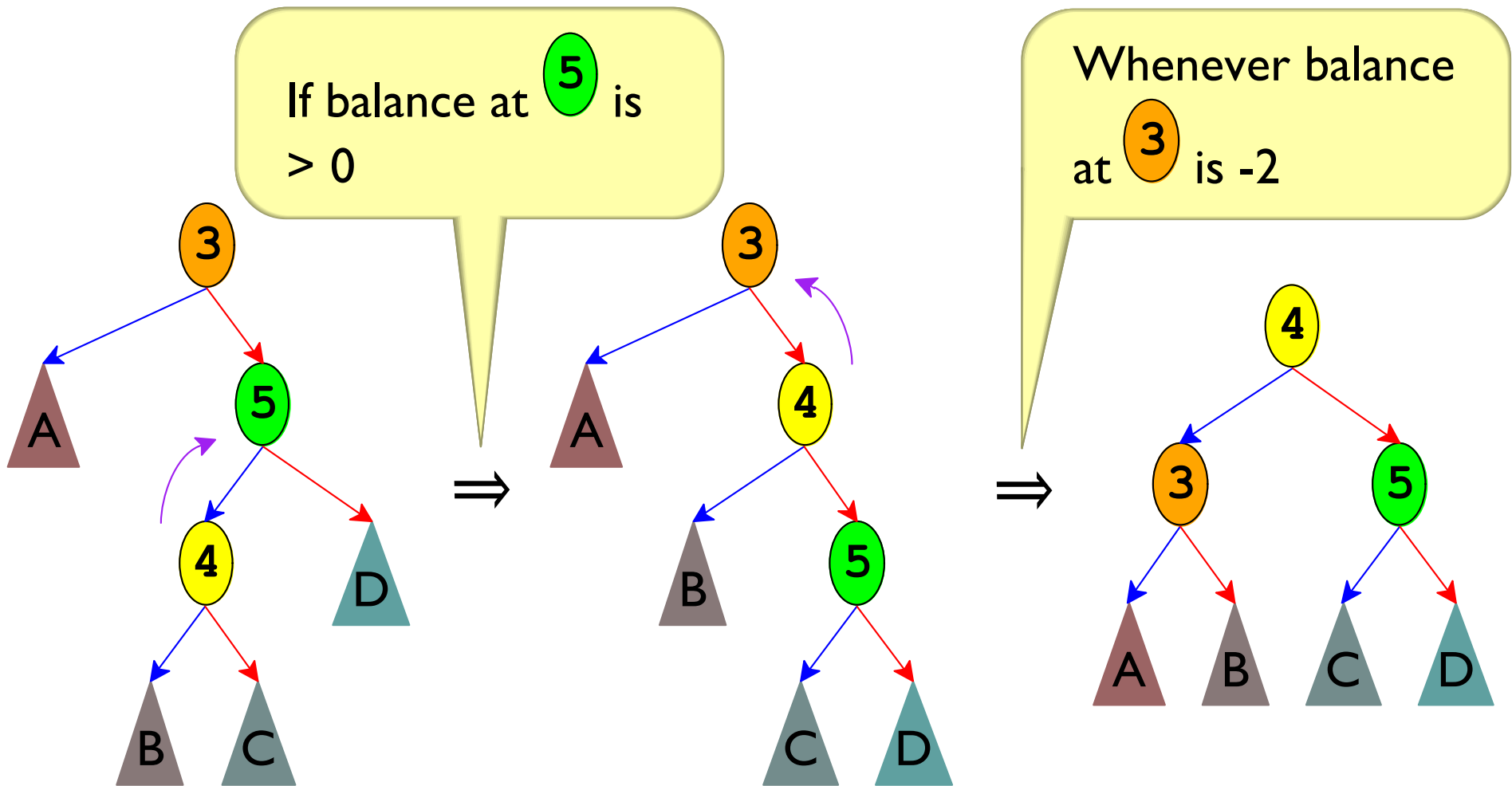
picture based on [http://en.wikipedia.org/wiki/AVL\\_tree](http://en.wikipedia.org/wiki/AVL_tree)

# AVL Trees



picture based on [http://en.wikipedia.org/wiki/AVL\\_tree](http://en.wikipedia.org/wiki/AVL_tree)

# AVL Trees



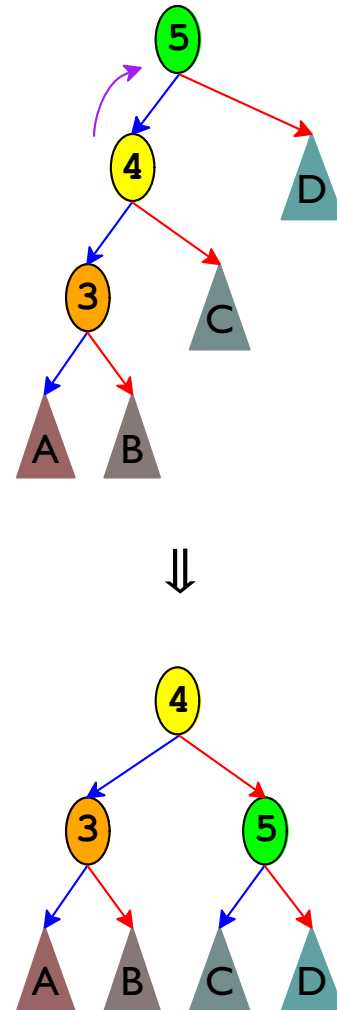
picture based on [http://en.wikipedia.org/wiki/AVL\\_tree](http://en.wikipedia.org/wiki/AVL_tree)

# AVL Trees

See `avl.c`

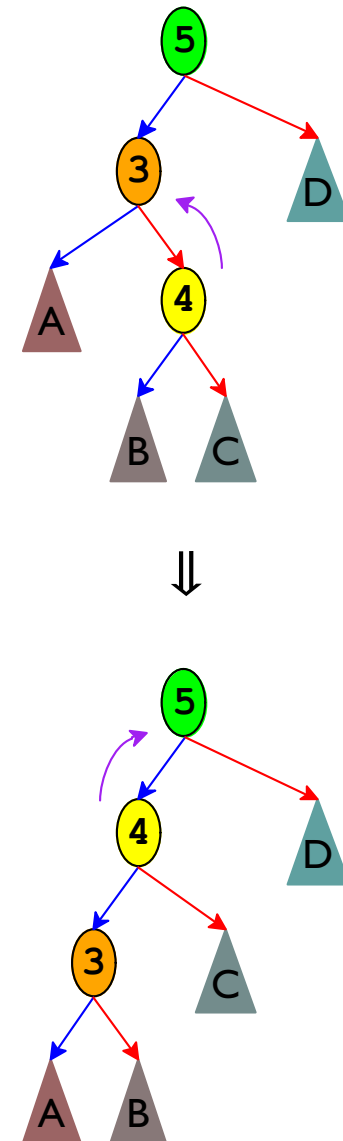
# AVL Rotation Code

```
if (get_balance(t) == 2) {  
    /* need to rotate right */  
    tree left = t->left;  
    if (get_balance(left) < 0) {  
        /* double right rotation */  
        tree left_right = left->right;  
        left->right = left_right->left;  
        left_right->left = left;  
        fix_height(left);  
        left = left_right;  
    }  
    t->left = left->right;  
    left->right = t;  
    fix_height(t);  
    fix_height(left);  
    return left;  
}
```



# AVL Rotation Code

```
if (get_balance(t) == 2) {
    /* need to rotate right */
    tree left = t->left;
    if (get_balance(left) < 0) {
        /* double right rotation */
        tree left_right = left->right;
        left->right = left_right->left;
        left_right->left = left;
        fix_height(left);
        left = left_right;
    }
    t->left = left->right;
    left->right = t;
    fix_height(t);
    fix_height(left);
    return left;
}
```



# JFYI: Red-Black Trees

A **red-black tree** uses a similar but different rebalancing strategy

It is often implemented with **for** loops instead of recursion, which is/was useful in some settings

# JFYI: Splay Trees

A ***splay tree*** uses another balancing approach

Instead of rebalancing after an insert, a splay tree rotates all lookups and inserts to the root